# COMBINED DECLARATION AND POWER OF ATTORNEY

[ X] submitted with initial filing [ ] submitted after filing (with surcharge)

Attorney Docket No.	FP0303C1US
First Named Inventor	Alberto Argoitia
App. Ser. No.	
Filing Date	Herewith
Group Art Unit	
Examiner's Name	

As a below-named inventor, I hereby declare that:

1) My residence, post office address, and citizenship are as stated below next to my name. I believe that I am the original, first and sole inventor if only my name is listed below, or that I am an original, first, and joint inventor if additional names are listed below, of the subject matter that is claimed and for which a patent is sought on the invention entitled:

## Opaque flake for covert security applications

me sp	ecification of which is:		
[X]	attached hereto;		
[]	was filed on	(MM/DD/YYYY)	and assigned U.S. or PCT
Interr	national Application Serial No. :		; and was amended
on	(MM/DD/Y	YYY) (if applicable).	

- 2) I hereby state that I have reviewed and understand the entire contents of specification, including the claims, as-amended by any amendment specifically referred to above, of the above-identified patent application.
- 3) I hereby acknowledge the duty to disclose information of which I am aware to the U.S. Patent Office and that is material to patentability as defined in 37 C.F.R. §1.56; namely, information where there is a substantial likelihood that a reasonable patent examiner would consider the information important in deciding whether to allow all pending claims. I further acknowledge that this duty continues while the patent application is pending.

For continuation-in-part applications, I acknowledge that I am obligated to disclose material information that I became aware of between the filing date of the prior ("parent") patent application and the national or PCT international filing date of the continuation-in-part application.

4) I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or under 365(a) of any PCT international application which designated at least one country other than the United

States of America, listed below, and have also identified below, by checking the associated box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Application No.	Country	Filing Date MM/DD/YYYY	Priority YES	Claim d NO	Copy A YES	ttached NO
NONE						
				-		

5) I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional patent application(s) listed below:

Application No.	Filing Date (MM/DD/YYYY)
NONE	

I hereby claim the benefit under 35 U.S.C. § 120 of any U.S. patent application(s) 6) or international patent application(s) filed under the PCT designating the United States of America that is listed below:

Application No.	Filing Date (MM/DD/YYYY)	
10/641,695	08/14/2003	
10/243,111	09/13/2002	

7) I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith and to direct all correspondence to:

USPTO Customer No. 22849

Scott W. Hewett 400 West Third Street, No. 223 Santa Rosa, CA 95401

Telephone: 707-591-0789

Fax.: 707-591-0392 email: shewett@sonic.net

# **DECLARATION**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application or any patent issued therefrom.

Name of first inventor:	Alberto Argoitia		
Inventor's signature: Residence: Santa Rosa, Cali	formia	Date: <u>01/19/0</u>	<u>′</u> _
Mailing address: 1628 Tahoe		95405	
Country of Citizenship: Vene		75405	
Name of second inventor:	Paul G. Coombs		
Inventor's signature:		Date: Jan. 19, 20	101
Residence: Santa Rosa, Calif	fornia		_
Mailing address: 4733 Devor		. CA 95405	
Country of Citizenship: Unite		, 0.1 90 100	
Name of third inventor:	7Charles T. Markantes		
Inventor's signature:		Date: JAN 19, 200	)4
Residence: Santa Rosa, Calif		2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	<u> </u>
Mailing address: 155 Stony P		CA 95401	
Country of Citizenship: Unite	•		

(The Declaration Ends at This Point)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UND	ER 37 CFR 3.73(b)	
Applicant/Patent Owner:_ Alberto Argoitia, Paul G. Coombs, and Charles T. Markantes		
Application No./Patent No.: unassigned		
Entitled: OPAQUE FLAKE FOR COVERT SECURITY		
Flex Products, Inc. , a corpoi		
	ssignee, e.g., corporation, partnership, university, government agency, etc.)	
	•	
states that it is:		
1. X the assignee of the entire right, title, and interest	; or	
2. an assignee of less than the entire right, title and The extent (by, percentage) of its ownership interest.	d interest. erest is%	
in the patent application/patent identified above by virtu	ue of either:	
A. [X] An assignment from the inventor(s) of the patent was recorded in the United States Patent and Twhich a copy thereof is attached.	t application/patent identified above. The assignment rademark Office at Reel, Frame, or for	
OR		
B. [ ] A chain of title from the inventor(s), of the paten assignee as shown below:	t application/patent identified above, to the current	
The document was recorded in the United	To: States Patent and Trademark Office at, or for which a copy thereof is attached.	
	To:	
The document was recorded in the United Reel, Frame	States Patent and Trademark Office at, or for which a copy thereof is attached.	
3. From:	То:	
The document was recorded in the United		
[ ] Additional documents in the chain of title a	re listed on a supplemental sheet.	
[X] Copies of assignments or other documents in the chein [NOTE: A separate copy (i.e., the original assignment must be submitted to Assignment Division in accordance recorded in the records of the USPTO. See MPEP 3	ent document or a true copy of the original document) lance with 37 CFR Part 3, if the assignment is to be	
The undersigned (whose title is supplied below) is author	orized to act on behalf of the assignee.	
January 20, 2004	Scott W. Hewett	
Date	Typed or printed name	
	for Heart	
	Signature	
	Attorney for the Assignee	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#### **ASSIGNMENT OF APPLICATION**

Whereas we, Alberto Argoitia, Paul G. Coombs, and Charles T. Markantes, all of Santa Ro California; hereafter referred to as APPLICANTS, have invented certain new and useful improvements in OPAQUE FLAKE FOR COVERT SECURITY APPLICATIONS, hereinafter called "the invention", which is assigned Attorney Docket No. FP0303C1US, and

Whereas, Flex Products, Inc., of Santa Rosa, California, a JDS Uniphase Company, herein referred to as ASSIGNEE, whose principle place of business is 1402 Mariner Way, Santa Rosa, CA 95407-7370 is desirous of acquiring the entire right, title, and interest in the same;

Now therefore, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, APPLICANT, by these presents do sell, assign, and transfer to the ASSIGNEE the full and exclusive right to the invention in the United States and the entire right. title and interest in and to any and all Patents that may be granted therefor in the United States, including any reissue patents, continuation patents, and/or division patents. I also hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to the ASSIGNEE, who holds the entire right, title, and interest to the same for its sole use and benefit; and for the use and benefit of its legal representatives, successors, and assigns, to the full end of the term for which any Patent may be granted.

I, the APPLICANT hereby further sells, assigns, transfers, and sets over to the ASSIGNEE the entire right, title, and interest in and to the invention in each and every country foreign to the United States; and the APPLICANT further conveys to the ASSIGNEE all priority rights resulting from the above-identified United States Patent Application, including the right to file patent applications in the ASSIGNEE's own name. The APPLICANT further agrees to execute all papers, give any required testimony, and perform other lawful acts, at the ASSIGNEE's expense, as the ASSIGNEE my require to enable the ASSIGNEE to perfect the ASSIGNEE's interest in any resulting patent of the United States or any foreign country, and to acquire, hold, enforce, convey, and uphold the validity of the patent and reissues and extensions thereof, and the ASSIGNEE's interest therein.

Date I signed the Declaration: O/IG/OGExecuted this IG day of January, 2004 at Santa Rosa, California

Alberto Argoiti

## **ASSIGNMENT OF APPLICATION**

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Date I signed the Declaration: Jan. 19, 2004

Executed this 19th day of January, 2004 at Santa Rosa, California

Paul G. Coombs

Witnessed by: \_<u></u> (Print name): \_\_\_

LANI ARagon

#### **ASSIGNMENT OF APPLICATION**

Whereas we, Alberto Argoitia, Paul G. Coombs, and Charles T. Markantes, all of Santa California; hereafter referred to as APPLICANTS, have invented certain new and useful improvements in OPAQUE FLAKE FOR COVERT SECURITY APPLICATIONS, hereinafter called "the invention", which is assigned Attorney Docket No. FP0303C1US, and

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Date I signed the Declaration: JAN 19, 2004

Executed this 1974 day of January, 2004 at Santa Rosa, California

Charles T. Markantes

a Mare

Witnessed by:

(Print name): VLADIMIR RAKSHA

01.19.04.